

CITY OF CHICAGO  
**OFFICE OF COMPLIANCE**

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May 25, 2011

Paul Shah  
Anderson & Shah Roofing, Inc.  
23900 County Farm Rd.  
Joliet, IL 60431

**Annual No Change Affidavit Expires: 6/30/2012**

Dear Paul Shah:

Congratulations on your continued eligibility for certification as a **Minority Business Enterprise (MBE)** by the City of Chicago. This certification is valid until **6/30/2013**.

As you know, your firm must also be re-validated annually. As such, your firm's next No Change Affidavit is due by **6/30/2012**. Please remember, you have an affirmative duty to file your No-Change Affidavit **60 days prior to the date of expiration**.

It is important to note that you also have an ongoing affirmative duty to notify the City of Chicago of any changes in ownership or control of your firm, or any other fact affecting your firm's eligibility for certification within 10 days of such change. These changes may include but are not limited to a change of address, change of business structure, change in ownership or ownership structure, change of business operations, and/or gross receipts that exceed the program threshold.

Please note – you shall be deemed to have had your certification lapse and will be ineligible to participate as a MBE/WBE/BEPD if you fail to:

- o file your No Change Affidavit within the required time period;
- o provide financial or other records requested pursuant to an audit within the required time period; or
- o notify the City of any changes affecting your firm's certification within 10 days of such change.

*Further, if you or your firm is found to be involved in certification, bidding and/or contractual fraud or abuse, the City will pursue decertification and debarment. And in addition to any other penalty imposed by law, any person who knowingly obtains, or knowingly assists another in obtaining, a contract with the city by falsely representing that the individual or entity, or the individual or entity assisted, is a minority-owned business or a woman-owned business, is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months or a fine of not less than \$5,000.00 and not more than \$10,000, or both.*

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333 S. State St., Suite 320, Chicago, IL 60604 • (312) 747-7778

[www.cityofchicago.org/compliance](http://www.cityofchicago.org/compliance)

Your firm is listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

**ROOFING, GUTTERS, AND DOWNSPOUTS MAINTENANCE AND REPAIR ROOFING,  
GUTTERS, AND DOWNSPOUTS MAINT. AND REPAIR;ROOFING;Trusses, Roof,  
Wooden;Asphalt, Roofing;Roofing, Gutters, and Downspouts Maintenance and  
Repair;Sheet Metal, Fabricated (Custom-Made Sheet Me**

Your firm's participation on City contracts will be credited only toward Minority Business Enterprise (MBE) goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward Minority Business Enterprise (MBE) goal will be given only for work done in a specialty category.

Thank you for your continued participation in the City's Supplier Diversity Program.

Sincerely,

A handwritten signature in black ink, appearing to read "Mary Elliott", written over a large, stylized, scribbled-out mark.

Mary Elliott  
Acting Managing Deputy Director



City of Chicago  
Richard M. Daley, Mayor

Department of  
Procurement Services

Monreal M. Gayles  
Chief Procurement Officer

City Hall, Room 403  
121 North LaSalle Street  
Chicago, Illinois 60602  
(312) 744-4900  
(312) 744-2949 (TTY)  
<http://www.cityofchicago.org>

June 20, 2008

Pravin M. Shah, President  
**Anderson & Shah Roofing, Inc.**  
23900 County Farm Road  
Joliet, Illinois 60431

Annual Certificate Expires: October 1, 2009  
Vendor Number: 049370

Dear Mr. Shah:

We are pleased to inform you that **Anderson & Shah Roofing, Inc.** has been certified as a **Minority Owned Business Enterprise (MBE)** by the City of Chicago. This **MBE** certification is valid until **October 1, 2013**, however your firm must be re-validated annually. Your firm's next annual validation is required by **October 1, 2009**.

As a condition of continued certification during this five year period, you must file a No-Change Affidavit **within 60 days prior** to the annual expiration. Failure to file this Affidavit will result in the termination of your certification. **Please note that you must include a copy of your most current Federal Corporate Tax Return.** You must also notify the City of Chicago of any changes in ownership or control of your firm or any other matters or facts affecting your firm's eligibility for certification whenever the changes occur.

The City may commence action to remove your firm's eligibility if you fail to notify us of any changes of facts affecting your firm's certification or if your firm otherwise fails to cooperate with the City in any inquiry or investigation. Removal of eligibility procedures may also be commenced if your firm is found to be involved in bidding or contractual irregularities.

Your firm's name will be listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

**Commercial, Industrial and Institutional Roofing; Sheet Metal Work**

Your firm's participation on City contracts will be credited only toward **MBE** goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward **MBE** goals will be given only for work done in the specialty category.

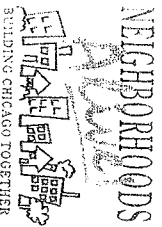
Thank you for your continued interest in the City's Minority and Women Business Enterprise Programs.

Sincerely,

  
Lori Ann Lypson  
Deputy Procurement Officer

LAL/lds

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*representing that the individual or entity, or the individual or entity assisted, is a minority-owned business or a woman-owned business, is guilty of a misdemeanor, punishable by incarceration in the county jail for a period not to exceed six months or a fine of not less than \$5,000.00 and not more than \$10,000, or both.*

Anderson & Shah Roofing, Inc. is listed in the City's Directory of Minority Business Enterprises and Women Business Enterprises in the specialty area(s) of:

15086 Trusses, Roof, Wooden  
57054 Sheet Metal, Fabricated (Custom-Made Sheet Metal Items)  
77009 Asphalt, Roofing

Your firm's participation on City contracts will be credited only toward MBE goals in your area(s) of specialty. While your participation on City contracts is not limited to your specialty, credit toward MBE goals will be given only for work done in a specialty category.

Thank you for your continued participation in the City's Supplier Diversity Program.

Sincerely,

A handwritten signature in black ink, appearing to read "Mary Elliott", written over a horizontal line.

Mary Elliott  
Acting Managing Deputy